

## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No. : Application Serial No. 10/510,395  
Applicants : Staub, et al.  
Confirm. No. : Unassigned  
Filed : October 4, 2004  
Title : SECURITY ELEMENT WITH MICRO- AND  
MACROSTRUCTURES  
TC/A.U. : N/A  
Examiner : N/A  
Docket No. : 1093-109 PCT/US  
Dated : December 17, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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1450, Alexandria, VA 22313-1450*

On December 17, 2004

Signature

*Tricia Tucci*  
Tricia Tucci

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56,  
Applicants submit herewith the following Information Disclosure Statement in accordance with  
the provisions of 37 C.F.R. §1.97 and §1.98.

U.S. PATENT DOCUMENTS

	<u>PATENTEE(S)</u>	<u>PATENT NUMBER</u>	<u>ISSUE DATE</u>
/NK/	Takeuchi, et al.	4,856,857	August 15, 1989
/NK/	Cowan	4,874,213	October 17, 1989
/NK/	Johnson	5,161,057	November 3, 1992
/NK/	Sermage	5,949,578	September 7, 1999
/NK/	Yamashita, et al.	6,130,777	October 10, 2000

FOREIGN PATENT DOCUMENTS

	<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
/NK/	Great Britain	GB 2 129 739 A	May 23, 1984
	Europe	EP 0 429 782 B1*	June 3, 1991
	Europe	EP 0 105 099 A1*	April 11, 1984
	Europe	EP 0 360 969 A1*	April 4, 1990
/NK/	WIPO	WO 99/38038*	July 29, 1999
/NK/	WIPO	WO 98/26373*	June 18, 1998
/NK/	WIPO	WO 01/80175 A1*	October 25, 2001
/NK/	Germany	DE 100 28 426 A1	April 12, 2001
	Germany	DT 27 01 176 B1	December 8, 1977
/NK/	WIPO	WO 03/084766 A3	October 16, 2003
/NK/	WIPO	WO 88/05387	July 28, 1988
/NK/	Europe	EP 0 649 037 A2	April 19, 1995

A copy of each of the references set forth above has been enclosed herewith for the convenience of the Examiner, and a separate listing of the same has been set forth on the attached Form PTO-1449. Corresponding U.S. patents or publications have been provided for non-English documents marked with an asterisk, to facilitate reference thereto. An English translation of PCT WO 03/084766 A3 is also provided which has been submitted concerning pending U.S. Application Serial No. 10/510,114.

An English abstract of all other non-English documents is provided herewith, if available, as well as a concise explanation of the non-English documents in compliance with 37 C.F.R. §1.98(a)(3).

/Nadia Khoshnoodi/

09/27/2008

**CONCISE EXPLANATION OF  
NON-ENGLISH PATENT DOCUMENTS**

German patent document DE 100 28 426 A1 relates to a method for exposing a photo-sensitive material so that a fine structure is formed by interference of an exposure beam, upon being diffracted and/or diffused at a coarse structure.

German patent document DT 27 01 176 B1 relates to a document with an information carrier, in which information verifying the authenticity of the document is hidden. The information carrier is characterized by a relief structure, which is formed by plastic deformation through application of an elastic stress field.

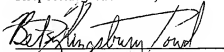
Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98.

Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge Deposit Account No. 08-2461.

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for further examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

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Respectfully submitted,

  
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